

SUBSTANTIAL SIGNIFICANT BUSINESS INTEREST (SBI)
REQUIREMENTS FOR eDAS
 Format/Edits

Section/Attribute	Description/Edit
SBI	<p>“SBI” is the title of this section and identifies the opening and closing of this xml section. This document provides additional reporting requirements of data under this section.</p> <p>Entity precedes SBI.</p> <p>This section will inherit the process flag and/or change flag of the Entity unless set by this section. Example: <SBI process flag = “2”>.</p>
CHANGE_FLAG (ATTRIBUTE)	<p>If Process Flag = 2 or 5 certain data will be allowed to change after initial acceptance. Tags have been identified by flags below. Flag of ‘1’ will only be allowed to change data identified by ‘1’. Flag of ‘2’ will be allowed to change data identified by ‘1 or 2’. Flag of ‘3’ will be allowed to change any data.</p> <p>Valid flags: 1 = general 2 = company approval 3 = RMA approval</p> <p>Change flag will default to 2 unless otherwise indicated.</p>
PROCESS_FLAG (ATTRIBUTE)	<p>Valid flags: 1 = original 2 = modify 3 = delete 4 = validate (original) 5 = validate (modify) 6 = (only applicable for premium) 7 = retrieve 8 = cancel (only not applicable for Livestock crop policy AGR/AGR-L) 9 = delete of a policy (& crop, if applicable) if no premium</p> <p>Process flag will default to 1 unless otherwise indicated.</p>

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No.	Tag	Key	Level of Change	IN/OUT	REQ/OPT/CON	Max Size	Picture	Description/Edit
1*	<RECORD_NUMBER>	Y	NA	Y/Y	REQ	3	9(03)	Must be > 000, unique and contained within an Entity .
2*	<ID_TYPE>		2	Y/Y	REQ	1	9(01)	Must be one of the following: 1 = SSN, 2 = EIN, 3 = Other (not applicable for Livestock) 5 = BIA Number. (See Exhibit 121-1 for valid combinations)
3*	<ID_NUMBER>		2	Y/Y	REQ	9	X(09)	If ID Type equals: '1' then Social Security Number, '2' then EIN Number (Numeric, > zero); '3' then all 9's (not applicable for Livestock); '5' then Bureau of Indian Affairs No. (first 5 digits are FIPS state and county code). (See Exhibit 121-1 for valid combinations). Edit to SSA file and ITS database. Must be 9 digits.
4*	<ENTITY_TYPE>		2	Y/Y	REQ	1	X(01)	Must be one of the following: B = Bureau of Indian Affairs C = Corporation D = Estates E = Religious, Charitable, Educational, Associations, Clubs, or Other Tax-Exempt Organizations G = Public Entities, State or Local Government I = Individual J = Co-Owner/Joint Operators K = Corporation without SBI's L = Landlord/Tenant N=Enterprise O = Other (Non-US Citizens) P = Partnership Q = Partnership with less than 2 SBI's S = Spousal Husband/Wife T = Trusts Entity types 'D, E, G, L, N, O, & T' not applicable for Livestock SBIs. (See Exhibit 121-1 for valid

June 16, 2004

Exhibit 126

FCIC-Appendix III

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No.	Tag	Key	Level of Change	IN/ OUT	REQ/ OPT/ CON	Max Size	Picture	Description/Edit
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combinations)

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No.	Tag	Key	Level of Change	IN/OUT	REQ/OPT/CON	Max Size	Picture	Description/Edit
5	<LAST_NAME>		1	Y/Y	CON	20	X(20)	SBI Last Name, see Exhibit 121-1 for Reporting Requirements. If Name Required column is 'FL', SBI Last Name is required. If 'FLB', SBI Last Name is required if Business name is blank. If 'BUS' leave SBI Last Name blank. Any entry requires a minimum of 2 characters, alpha only (except – space, period, dash).
6	<FIRST_NAME>		1	Y/Y	CON	10	X(10)	SBI First Name, see Exhibit 121-1 for Reporting Requirements. If Name Required column is 'FL', SBI First Name is required. If 'FLB', SBI First Name is required if Business name is blank. If 'BUS' leave SBI First Name blank. Alpha only (except – space, period, dash).
7	<MIDDLE_NAME>		1	Y/Y	OPT	10	X(10)	SBI Middle Name. Alpha only (except – space, period, dash).
8	<SUFFIX>		1	Y/Y	OPT	5	X(05)	SBI Name Suffix (e.g. SR, JR, II, etc.). Alpha only (except – space, period, dash).
9	<BUSINESS_NAME>		1	Y/Y	CON	35	X(35)	See Exhibit 121-1 for Reporting Requirements.
10	<INELIG_SBI_FLAG>		1	Y/Y	CON	1	X(01)	Ineligible SBI Flag. Enter 'Y' if SBI Entity is ineligible and share has been reduced.
11	<SHARE>		2	Y/Y	CON	5	9.999	SBI Share of policy. Required for an ineligible SBI. Cannot exceed 1.000 (summed). If entity type = 'S'; share is optional. If entity type = 'S' and share is reported, must be < 1.000. Share is required for Livestock and must be > 0.0 and <= 1.000 (summed).
12	<INELIG_SBI_SHR_RED>		NA	N/Y	REQ	5	9.999	Ineligible SBI Share Reduction Percent. eDAS will populate with total share reduction of all Ineligible SBI's.

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No.	Tag	Key	Level of Change	IN/OUT	REQ/OPT/CON	Max Size	Picture	Description/Edit
13	<AUTHORIZATION_NUM		2	Y/Y	CON	5	9(05)	Authorization Number provided by Reinsurance Services Division (RSD) approving the original, change or deletion. Change Flag must = 3 and Process Flag must = 1, 2, 3, 4 or 5. If changing a "Key" field with Level of Change = 2, Authorization Number assigned by administrative screen and Change Flag must = 2.
14	<TRANSACTION_FLAG>		NA	N/Y	REQ	1	X(01)	If section accepted, flag = Y. If rejected, flag = N. If deleted, flag = D. If cancel, flag = C.

Notes: Key field is Record Number (field 1).

*** Data elements that must be accepted to meet timely reporting of an eligible crop insurance contract for AGR/AGR-L.**

If Entity Type (field 4) = 'I' and Id Type (field 2) = '2' for ~~the entity producer data~~ then there must be 1 SBI record.

2 If Entity Type (field 4) = 'S' and Id Type (field 2) = '2' for ~~an the entity producer data~~ then there must be 2 SBI records.

If Entity Type (field 4) = 'P' then there must be at least 2 SBI.

Information regarding column headers:

- 1) 'Tag' identifies the naming convention used in creation of XML.
- 2) 'Key' identifies the tags that are key to the validation/storage of data.
- 3) 'Level of Change' identifies the data that ~~is~~ allowed to change and at what level according to Change Flag.
- 4) 'IN/OUT' identifies the direction of data, IN for input and OUT for output with flags 'N' (NO) and 'Y' (YES).
- 5) 'REQ/OPT/CON' identifies if the data is required (REQ), optional (OPT), or conditional (CON). ~~if~~ optional or conditional and there ~~is~~ no data to report the tag is not used.
- 6) 'Max Size' is the maximum size of data allowed for that tag.
- 7) 'Picture' identifies the type of data expected.
- 8) 'Description/Edit' gives additional information.

On an update, only the key fields that define the sections are required plus any changed fields or new sections.